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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)  
 Sheet 1 of 11

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

## **U.S. PATENT DOCUMENTS**

Exam Initials	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		388000		Rider	8/14/1888	
		1151861		Brumback	Aug-15	
		2056688		Peterka et al.	Oct-36	
		2526959		Lorenzo	Oct-50	
		3025853		Mason	Mar-62	
		3236141		Smith	Nov-66	
		3709218		Halloran	Jan-73	
		3717146		Halloran	Feb-73	
		3842825		Wagner	Oct-74	
		3939498		Lee et al.	Feb-76	
		4011863		Zickel	Mar-77	
		4119092		Gil	Oct-78	
		4135507		Harris	Jan-73	
		4153953		Grobbelaar	May-79	
		4169470		Ender et al.	Oct-79	
		4172452		Forte et al.	Oct-79	
		4408601		Wenk	Oct-83	
		4467793		Ender	Aug-84	
		4473069		Kolmert	Sep-84	
		4483335		Tornier	Nov-84	
		4488543		Tornier	Dec-84	
		4493317		Klaue	Jan-85	
		4506662		Anapliotis	Mar-85	
		4565193		Streli	Jan-86	

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 Sheet 2 of 11

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		Number	Kind Code <sup>2</sup> (if known)			
		4651724		Berentey et al.	Mar-87	
		4712541		Harder et al.	Dec-87	
		4733654		Marino	Mar-93	
		4776330		Chapman et al.	Oct-88	
		4794919		Nilsson	Jan-89	
		4800874		David et al.	Jan-89	
		4915092		Firica et al.	Apr-90	
		4923471		Morgan	May-90	
		4955886		Pawluk	Sep-90	
		5013314		Firica et al.	May-91	
		5035697		Frigg	Jul-91	
		5041113		Biedermann et al.	Aug-91	
		5057110		Kranz et al.	Oct-91	
		5085660		Lin	Feb-92	
		5127912		Ray et al.	Jul-92	
		5151103		Tepic et al.	Sep-90	
		5190544		Chapman et al.	Mar-93	
		5197966		Sommerkamp	Mar-93	
		5201733		Etheredge, III	Apr-93	
		5275601		Gogolewski et al.	Jan-94	
		5352228		Kummer et al.	Oct-94	
		5352229		Goble et al.	Oct-94	
		5356253		Whitesell	Oct-94	
		5356410		Pennig	Oct-94	

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		Number	Kind Code <sup>2</sup> (if known)			
		5364399		Lowery et al.	Nov-94	
		5382248		Jacobson et al.	Jan-96	
		5458654		Tepic	Oct-95	
		5472444		Huebner et al.	Dec-95	
		5484438		Pennig	Jan-96	
		5486176		Hildebrand et al.	Jan-96	
		5527311		Procter et al.	Jun-96	
		5531746		Errico et al.	Jul-96	
		5536127		Pennig	Jul-96	
		5549612		Yapp et al.	Aug-96	
		5558674		Heggeness et al.	Sep-96	
		5578035		Lin	Nov-96	
		5586985		Putnam et al.	Dec-96	
		5591168		Judet et al.	Jan-97	
		5601553		Trebing et al.	Feb-97	
		5603715		Kessler	Feb-97	
		5662655		Laboureaux et al.	Sep-97	
		5665086		Itoman et al.	Sep-97	
		5665087		Huebner	Sep-97	
		5665089		Dall et al.	Sep-97	
		5669915		Caspar et al.	Sep-97	
		5676667		Hausman	Oct-97	
		5709682		Medoff	Jan-98	
		5709686		Talos et al.	Jan-98	

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Group Art Unit	3732
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		5718705		Sammarco	Feb-98	
		5728099		Tellman et al.	Mar-98	
		5733287		Tepic et al.	Mar-98	
		5749872		Kyle et al.	May-98	
		5766174		Perry	Jun-98	
		5772662		Chapman et al.	Jun-98	
		5776194		Mikol et al.	Jul-98	
		5807396		Raveh	Sep-99	
		5851207		Cesarone	Dec-98	
		5931839		Medoff	Aug-99	
		5935128		Carter et al.	Aug-99	
		5938664		Winqvist et al.	Aug-99	
		5941878		Medoff	Aug-99	
		5951557		Luter	Sep-99	
		5951878		Medoff	Aug-99	
		5954722		Bono	Sep-99	
		5964763		Incavo	Oct-99	
		5967046		Muller	Oct-99	
		5968046		Castleman	Oct-99	
		6007535		Rayhack et al.	Dec-99	
		6010505		Asche et al.	Jan-00	
		6123709		Jones	Sep-00	
		6129730		Bono et al.	Oct-00	
		6146384		Lee et al.	Nov-00	

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 Sheet 5 of 11

Confirmation Number	2971
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First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

## **U.S. PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (if known)			
		6152927		Farris et al.	Nov-00	
		6183475		Lester et al.	Feb-01	
		6206881		Frigg et al.	Mar-01	
		6228285		Wang et al.	May-01	
		6231576		Frigg et al.	May-01	
		6235034		Bray	May-01	
		6238395		Bonutti	May-01	
		6241736		Sater et al.	Jun-01	
		6248109		Stoffella	Jun-01	
		6258089		Campbell et al.	Jul-01	
		6270499		Leu et al.	Aug-01	
		6355041		Martin	Mar-02	
		6355043		Adam	Mar-02	
		6358250		Orbay	Mar-02	
		6379359		Dahners	Apr-02	
		6383186		Michelson	May-02	
		6409768		Tepic et al.	Jun-02	
		6454769		Wagner et al.	Sep-02	
		6454770		Klaue	Sep-02	
		6458133		Lin	Oct-02	
		6468278		Muckter	Oct-02	
		6508819		Orbay	Jan-03	

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 Sheet 6 of 11

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First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
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		Number	Kind Code <sup>2</sup> (if known)			
		6540748		Lombardo	Mar-03	
		6599290		Bailey et al.	Jul-03	
		6623486		Weaver et al.	Sep-03	
		6626908		Cooper et al.	Sep-03	
		6645212		Goldhahn et al.	Nov-03	
		6669700		Farris et al.	Dec-03	
		6679883		Hawkes et al.	Jan-04	
		6692503		Foley	Feb-04	
		6706046		Orbay et al.	Mar-04	
		6712820		Orbay	Mar-04	
		6719758		Beger et al.	Apr-04	
		6730090		Orbay et al.	May-04	
		6730090		Pfefferle et al.	May-04	
		6755831		Putnam	Jun-04	
		6761719		Justis et al.	Jul-04	
		6767351		Orbay et al.	Jul-04	
		6780186		Errico et al.	Aug-04	
		6866665		Orbay	Mar-05	
		6926720		Castaneda	Aug-05	
		6955677		Dahners	Oct-05	
		6974461		Wolter	Dec-05	
		7090676		Huebner et al.	Aug-06	
		7153309		Huebner et al.	Dec-06	
		2001/0001119		Lombardo	May-01	

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		2001/0011172		Orbay et al.	Aug-01	
		2001/0021851		Eberlein et al.	Sep-01	
		2002/0032446		Orbay	Mar-02	
		2002/0049445		Hall IV et al.	Apr-02	
		2002/0058939		Wagner et al.	May-02	
		2002/0058941		Clark et al.	May-02	
		2002/0111629		Phillips	Aug-02	
		2002/0147452		Medoff et al.	Oct-02	
		2002/0156474		Wack et al.	Oct-02	
		2003/0045880		Michelson	Mar-03	
		2003/0078583		Biedermann et al.	Apr-03	
		2003/0083661		Orbay et al.	May-03	
		2003/0135212		Chow	Jul-03	
		2003/0153919		Harris	Aug-03	
		2003/0216735		Altarac et al.	Nov-03	
		2004/0059334		Weaver et al.	Mar-04	
		2004/0059335		Weaver et al.	Mar-04	
		2004/0068319		Cordaro	Apr-04	
		2004/0073218		Dahners	Apr-04	
		2004/0097934		Farris et al.	May-04	
		2004/0102778		Huebner et al.	May-04	
		2004/0111090		Dahners	Jun-04	
		2004/0260291		Jensen	Dec-04	
		2005/0004574		Muckter	Jan-05	

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		2005/0131413		O'Driscoll et al.	Jun-05	
		2005/0154392		Medoff et al.	Jul-05	
		2005/0165400		Fernandez	Jul-05	
		D443060		Benirschke et al.	May-01	
		RE28841		Allgower et al.	Jun-76	
		472913		Taylor	April-1892	
		2500370		McKibbin	Mar-1950	
		3645161		Wesker	Feb-72	
		3741205		Markolf et al.	Jun-73	
		4484570		Sutter et al.	Nov-84	
		4867144		Kara et al.	Sep-89	
		5006120		Carter	Apr-94	
		5015248		Burstein et al.	May-91	
		5304180		Slocum	Apr-94	
		5437667		Papierski et al.	Aug-95	
		5531745		Ray	Jul-96	
		5607426		Ralph et al.	Mar-97	
		5853413		Carter et al.	Dec-98	
		5879350		Sherman	Mar-99	
		5968047		Reed	Oct-99	
		5989254		Katz	Nov-99	
		6010503		Richelsoff	Jan-00	
		6022350		Ganem	Feb-00	
		6053917		Sherman	Apr-00	

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Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Y <sup>1</sup>
		"Advances in distal Radius Fracture Management (D)", transcript of American Academy of Orthopaedic Surgeons 2001 Conf.; pp. 134-151, Feb. 28, 2001 including Article by Matthew D. Putnam MD, "Repair and Rehabilitation of Distal Fractures: The Role of Subchondral Fixation" at pp. 144-147.	
		"Numelock II Polyaxial Locking System," STRYKER CORPORATION, brochure.	
		"SCS.TM./D Distal Radius Plate System: Dorsal", Avanta 1997.	
		"SCS.TM./V Distal Radius Plate: Volar", Avanta 1998.	
		"SMARTLock Locking Screw Technology," STRYKER CORPORATION, website description, 2004, www.stryker.com	
		"Summary of Safety and Effectiveness Information"; Synthes.RTM.; Jul. 29, 1998.	
		"The Distal Radius Plate Instrument and Implant Set", Technique Guide, SYNTHES.RTM., Paoli, PA 1995.	
		"The Titanium Distal Radius Plate", Technique Guide, SYNTHES.RTM., Paoli, PA, 1995.	
		"Universal Distal Radius System", STRYKER CORPORATION, website description, 2004, www.stryker.com	
		"VAL PLATE (Volar Angle Locking) for Distal Radius Fractures", US IMPLANTS, brochure.	
		"Volar Peg Plate Insertion Technique", TRIMED, INC., brochure.	
		"Volar Radius Plate with Angular Stability", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), 510(k) Summary of Safety and Effectiveness, Feb. 6, 2004.	
		"Volare Winkelstabile Radiusplatte", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), SPECTROMED, brochure, 2005, Austria.	
		MOFTAKHAR, Roham, M.D. and TROST, Gregory R., M.D., "Anterior Cervical Plates: A Historical Perspective", January 2004, pp. 1-5.	
		NELSON, "Volar Plating with Anatomic Placement and Fixed-Angle Screws", Quick Reference Guide for Contours VPS Volar Plate System by ORTHOFIX, May 2005, www.orthofix.com	
		PUTNAM, D. M.D., "Repair and Rehabilitation of Distal Fractures: The Role of Subchondral Fixation" at pp. 144-147.	
		Polyaxial and Monoaxial Spinal Screws, XIA.TM. Spinal System, www.osteonics.com/osteonics/spine/xia2.html, June 25, 2002	

Examiner Signature	Date Considered
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